



ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.

RELEVANT TRAININGS OF COMPLIANCE OFFICER

This is to certify that I have received trainings on the subjects listed below. The trainings have provided me adequate opportunity to ask questions and practice procedures to determine and correct skill deficiencies.

| Date of Orientation/ Training/Webinar | Topic | Host/Sponsor |
|---|--|---|
| 6 Sessions from September to October 2021 | Agility Training | Etiqa Life and General Assurance Malaysia (Kuala Lumpur) |
| 11-14 October 2021 | SIBOS Conference 2021 | SIBOS |
| 14 October 2021 | Third-Party GRC Management: Federated Governance of the Extended Enterprise | The GRC |
| 21 October 2021 | Building Safer Pathways for Cloud Migration and Storage | World Forums |
| 23 October 2021 | AMLA Updates | Maybank Philippines |
| 26 October 2021 | Understanding the Journey to Policy Management Maturity | The GRC |
| 28 October 2021 | Aligning Risk & Performance Management | World Forums |
| October 2021 | FinCrime Global Event | Data Protection World Forum |
| 6 Sessions November 2021 | Scrum Brown Bag Workshop | Etiqa Life and General Assurance Philippines |
| 18 August 2021 | Data Protection World Forum | Work Cast Events |
| 3 November 2021 | Accountability & Compliance in the Modern Enterprise | The GRC |
| 4 November 2021 | "The Future of Anti-Financial Crime? Enhancing Effectiveness with the Investigator-Centric Approach" | World Forums |
| 12 November 2021 | AML: Talking typologies and the role of artificial intelligence | RT Associates & Napier |
| 30 November 2021 | Getting to Know Your Customer with the Power of Entities and Networks | Quantexa, EY and Bureau van Dijk, a Moody's Analytics company |
| 28 January 2022 | Processing of personal data: How to keep it safe | EU GDPR |
| 16 February 2022 | Why all companies should explore the ethics of technology | Privsec Global |
| 25 February 2022 | Will Your Business Survive China's Increasingly Tough Digital Regulations? | Privsec Global |
| 28 February 2022 | You Don't Want to Miss This: Government Keynote and International Transfers | One Trust Privacy, Security & Governance |
| 29 March 2022 | How to foster inclusion: The intersection of DEI and E&C | LRN Webinars |
| 29 March 2022 | Data Subject Rights under the EU GDPR | EU GDPR |



ETIQA LIFE AND GENERAL ASSURANCE PHILIPPINES, INC.

| | | |
|----------------|---|--|
| 31 March 2022 | Tackling Unstructured Data Challenges | One Trust Privacy, Security & Governance |
| 5-6 April 2022 | Crypto, eCommerce, Compliance programmes and more on agenda for FinCrime Global | FINCRIME Global |
| 26 April 2022 | PrivSec Focus: Third-Party Risk | Privsec |
| 12 May 2022 | Transaction Monitoring: The Next Generation | Napier |
| 25 May 2022 | PrivSec Focus: GDPR Four Years On | Privsec Global |
| 25-26 May 2022 | National Data Privacy Conference | National Privacy Commission |
| 15 June 2022 | AMLC Registration and Reporting Guidelines | Anti-Money Laundering Council |
| 16 June 2022 | Fast Track: Digital Banking Trends in the Post-Pandemic Era | EXIST |
| 12 July 2022 | Building a Cyber-resilient Philippines | Tradepass |
| 13 July 2022 | Building a Cyber-resilient Philippines | Tradepass |
| 9 May 2023 | AML/CFT Fundamentals | Anti-Money Laundering Council |
| 17 May 2023 | AMLC Registration and Reporting Guidelines (ARRG) | Anti-Money Laundering Council |
| 25 May 2023 | Targeted Financial Sanctions | Anti-Money Laundering Council |

CERTIFIED BY:

Atty. Roselle P. Perez-Bariuan
Compliance Officer
22 May 2023